

# PREPARATION FOR CORPORATE LIABILITY ON CORRUPTION:

**'HOW READY IS YOUR COMPANY TO SAFEGUARD YOUR DIRECTORS,  
TOP MANAGEMENT AND PERSONNEL AGAINST A CORRUPTION  
PROSECUTION?'**

**1 NOVEMBER 2018, THURSDAY**

**9.00 AM – 5.00 PM**

**GRANDIS HOTEL, KOTA KINABALU, SABAH**

## SYNOPSIS

*The Malaysian Anti-Corruption Commission Amendment Act 2018 has been passed in Parliament and gazetted. The main thrust of this Act is to introduce a new far-reaching corporate liability provision (the "Provision") into the MACC Act.*

*As mentioned in the Bill's Explanatory Statement, the Bill seeks to introduce a new Section 17A into the MACC Act. This Section provides **that "a commercial organisation commits an offence if any person associated with the commercial organisation commits a corrupt act in order to obtain or retain business or advantage for the commercial organisation"**.*

*Once the offence is committed by the commercial organisation, the law will deem certain persons in the organisation to have also committed that offence.*

*Although the onus is now shifted to the individual who is deemed to have committed the offence, there are various "lines of defence" that such persons may consider deploying across the organisation.*

***The penalties, upon conviction, may entail a fine ten (10) times the value of the gratification or RM1 million, whichever is higher, and/or a jail sentence not exceeding twenty (20) years. In view of the hefty penalties, it is crucial for Directors, Partners and Management to have systems in place to demonstrate diligence in preventing such offences.***

## OBJECTIVE

*This seminar aims to introduce the new Provision and its implications to companies (local companies as well as foreign companies having business in Malaysia) and partnerships (unlimited as well as limited, carrying on business whether in Malaysia or elsewhere), their Directors, Partners and Management.*

*The main focus is on the implication of the Provision, the extent of its coverage, the "lines of defence" available to those charged with governance (that is the Directors, Partners and Management). Case studies will be discussed to elucidate the implication of this Provision to better prepare stewards of organisations for compliance. Other legislations like the Companies Act 2016, the Capital Markets & Services Act 2007 and the Malaysian Code on Corporate Governance, insofar as they relate to equivalent offences, will also be discussed in conjunction with the Corporate Liability Provision.*



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## KEY LEARNING OUTCOMES

At the end of the Seminar, participants will:

- ✚ understand the rationale for the new Corporate Liability Provision;
- ✚ be familiarised with the key features and implications to the commercial organisation, Directors, Partners and Management;
- ✚ be apprised of the lines of defence available to Directors, Partners and Management to prove diligence preventing offences envisaged in the Provision;
- ✚ understand the 'adequate procedures' needed to safeguard the company; and
- ✚ be able to advise their board and top management on key elements of ISO 37001: the new international standard for anti-bribery management systems

## WHO SHOULD ATTEND

- ✚ Directors (Executive and Non-Executive)
- ✚ Partners of partnerships
- ✚ CEOs, CFOs & COOs
- ✚ Chief Governance Officers
- ✚ Chief Sustainability Officers
- ✚ Company Secretaries
- ✚ Compliance Officers
- ✚ Internal Auditors
- ✚ External Auditors
- ✚ Risk Officers
- ✚ Corporate Communications Officers
- ✚ Investment Relations Officers
- ✚ Legal Officers
- ✚ Advocates of corporate governance, risk management and internal control

## SPEAKERS' PROFILES



### MR LEE MIN ON

Mr Lee Min On conducts in-house training and participates in public seminars & conferences as speaker, sharing thoughts & insights on Sustainability, Governance, Risk, Control & Internal Audit. He is the Chairman of the Audit Committee of The Institute of Internal Auditors Malaysia and a Director of Coalition for Business Integrity Berhad, a not-for-profit organisation that advocates integrity in conducting business in Malaysia to raise the bar of corporate governance & integrity in the market place. He is also an Independent Non-Executive Director of 4 listed issuers in Malaysia. His qualifications and professional membership are Chartered Accountant, Malaysian Institute of Accountants, Certified Public Accountant, Malaysian Institute of Certified Public Accountants and Fellow Member, The Institute of Internal Auditors Malaysia. He retired as Partner of KPMG in Malaysia on 31 December 2015 after serving with the firm for 36 years



### DR MARK LOVATT

Dr Mark Lovatt is an internationally-recognised expert in anti-corruption systems at both the company and national level. His company, Trident Integrity Solutions Sdn Bhd is a leading consultancy firm in the implementation of ISO 37001 (Anti-Bribery Management Systems) and related services. Prior to leading Trident, Dr Lovatt was the Business Integrity Programme Manager for Transparency International Malaysia. He holds a BA (Hons) and PhD from Nottingham University, UK, and has a background in IT, corporate regulation and business development in the power & gas sector. A well-known writer and public speaker, he is also the Secretary-General of the Business Integrity Alliance. He speaks at conferences across the region, and has worked with governments, global agencies, multinationals and GLCs to deliver effective measures to combat corruption.

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## PROGRAMME OUTLINE

TIME	PROGRAMME
8.30 am	<b>Registration</b>
9.00 am	<ul style="list-style-type: none"><li>• Introduction</li><li>• The “Look &amp; Feel” of the MACC Amendment Act 2018</li><li>• The new Section 17A in the Bill on Corporate Liability Provision (“Provision”)</li><li>• Rationale for the Provision &amp; what the Provision is all about</li><li>• Extent of the Provision’s coverage &amp; related penalties</li><li>• Equivalent provisions in the UK Bribery Act 2010 and the Foreign Corrupt Practices Act of USA, including case studies</li></ul> <p><b>Mr Lee Min On, Director of Coalition for Business Integrity Berhad</b></p>
10.30 am	<b>Coffee Break</b>
11.00 am	<ul style="list-style-type: none"><li>• “Lines of defence” available for those charged with governance (Directors, Partners and Management)</li><li>• What other legislations in Malaysia have to say on equivalent offences?</li><li>• Challenges anticipated</li><li>• Leveraging the independent assurance providers – auditors, compliance function and others</li><li>• “What is not documented is deemed not done”</li></ul> <p><b>Mr Lee Min On, Director of Coalition for Business Integrity Berhad</b></p>
12.15 pm	Questions & Comments
12.30 pm	<b>Lunch</b>
1.30 pm	“Adequate Procedures” <ul style="list-style-type: none"><li>• What are considered Adequate Procedures?</li><li>• How can they protect your company, exposure of directors, partners and management?</li><li>• Where to start?</li><li>• What to expect from the programme?</li></ul> <p><b>Dr Mark Lovatt, CEO of Trident Integrity Solutions Sdn Bhd</b></p>
3.30 pm	<b>Tea Break &amp; Networking</b>
4.00 pm	Transparency in Corporate Reporting (TRAC report): Key elements and recommendations on strengthening anti-corruption <ul style="list-style-type: none"><li>• Findings from “Transparency in Corporate Reporting” 2017 survey</li><li>• Pointers on how to improve your company’s TRAC scorecard</li></ul> <p><b>Dr Mark Lovatt, CEO of Trident Integrity Solutions Sdn Bhd</b></p>
5.00 pm	<b>End of seminar</b>

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## REGISTRATION FORM

MEMBERSHIP NO. \_\_\_\_\_

### PARTICIPANTS' DETAILS

Participant 1 \_\_\_\_\_

Designation \_\_\_\_\_

Email \_\_\_\_\_

Mobile No. \_\_\_\_\_

Participant 2 \_\_\_\_\_

Designation \_\_\_\_\_

Email \_\_\_\_\_

Mobile No. \_\_\_\_\_

Participant 3 \_\_\_\_\_

Designation \_\_\_\_\_

Email \_\_\_\_\_

Mobile No. \_\_\_\_\_

Participant 4 \_\_\_\_\_

Designation \_\_\_\_\_

Email \_\_\_\_\_

Mobile No. \_\_\_\_\_

### COMPANY DETAILS

Company \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Contact person \_\_\_\_\_

Email \_\_\_\_\_

Tel \_\_\_\_\_ Fax \_\_\_\_\_

Signature / Date &  
Company Stamp

Member

Non-Member



### PROGRAMME FEE \* (per participant)

MICG Member	RM 960	-
Non Member	RM 1200	RM 1080
Group (4 or more)	RM 1020	-

Early Bird  
(before 12  
Oct 2018 )

\* Fee is subject to the rate of tax prescribed by the Government.

\* Includes refreshment, lunch, course materials and Certificate of Attendance.

### REGISTRATION & ENQUIRIES

#### MICG

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#### UMS INVESTMENT HOLDINGS SDN BHD

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Fax No. +(6088) 487 322  
Website : [www.umsinvestment.com.my](http://www.umsinvestment.com.my)

### TERMS & CONDITIONS

#### Payment

Full payment must be made no later than event date.  
Crossed cheque/Bank Draft/Online Transfer should be made payable to:

**UMS Investment Holdings Sdn. Bhd.**  
**800 5545607 (CIMB Bank Berhad) or**  
**5101 4304 6502 (Maybank Berhad).** Please email payment advice to [marketing@umsinvestment.com.my](mailto:marketing@umsinvestment.com.my)

#### Substitution / Cancellation

Non-attendance does not constitute cancellation. You can substitute an alternate participant for the same event. Any difference in fees will be charged accordingly.  
Substitution / Cancellation must be received in writing **strictly 7 working days before the event.**  
Administration fee of 20% will be charged for cancellation and no substitution received less than 7 working days.  
Delegates who did not turn up at event, the entire fee will be forfeited. No refund will be entertained.

#### For Government Officials

A Local Order (LO) or Letter of Approval to participate must be sent before or presented on the day of event.

#### Personal Data Protection Notice

I have read and hereby consent to UMS Investment Holdings Sdn. Bhd. (UINVEST) processing my personal data as posted on [www.umsinvestment.com.my](http://www.umsinvestment.com.my).

#### Disclaimer

The Organiser reserves the right to change the date(s), speaker(s), and venue and to cancel the programme should circumstances beyond the Organiser's control.